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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Serial No. **10/692,165**
Applicants: **Alan Wu et al**
Group Art Unit: **3753**
Filing Date: **October 23, 2003**
Title: **LATERAL PLATE SURFACE COOLED HEAT EXCHANGER**
Attorney Docket No.: **60680-741**

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.97

Applicant submits this Supplemental Information Disclosure Statement in accordance with the duty of disclosure under 37 C.F.R. §1.56 and §1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b)(3). Enclosed herewith is a List of References Cited by Applicant (PTO-1449) (one sheet), as well as a copy of each non- United States reference listed thereon. In accordance with 37 C.F.R. § 1.98(a)(2)(iv), a copy of an International Search Report and Written Opinion obtained in connection with a corresponding application under the Patent Cooperation Treaty has been included herewith.

All of the references listed on the attached Form PTO-1449 were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

EXECUTED at Toronto, Ontario, Canada, this 31 day of August 2004.

Respectfully submitted,

By:

David J. Greer
Registration No. 43,395

Encl.

Copies of non US references and Form PTO-1449
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CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): WU ET AL.

Docket No.

60,680-741

Application No.
10/692,165Filing Date
10/23/2003Examiner
UNASSIGNEDCustomer No.
26127Group Art Unit
3753

Invention: LATERAL PLATE SURFACE COOLED HEAT EXCHANGER



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is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on
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ALESIA A. MUNGONS

(Typed or Printed Name of Person Mailing Correspondence)

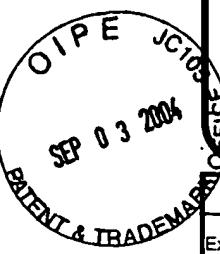
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Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/692,165
				Filing Date	10/23/2003
				First Named Inventor	WU ET AL.
				Art Unit	3753
				Examiner Name	UNASSIGNED
				Attorney Docket Number	60,680-741
Sheet	1	of	1		



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Attorney Docket Number

60,680-741

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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